Notice of Intent to Submit an Application

ICC-SWCC Wind Turbine Certification Programs

Please submit this form and all attachments electronically to info@smallwindcertification.org. ICC-SWCC operates certification programs for Small Wind Turbines and Medium Wind Turbines as defined below:

**Small Wind Turbine (SWT)** - The rotor swept area is 200 m² or less.
**Medium Wind Turbine (MWT)** - The rotor swept area is greater than 200 m² and less than 1000 m².

Please use the legal corporate or other business name for the Company and the official corporate address.

<table>
<thead>
<tr>
<th>Date (mm/dd/yyyy)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Applicant Name</td>
<td></td>
</tr>
<tr>
<td>Company</td>
<td></td>
</tr>
<tr>
<td>Description of Legal Status</td>
<td>(e.g. LLC organized in the State of xxxxx)</td>
</tr>
<tr>
<td>Website</td>
<td></td>
</tr>
<tr>
<td>Address 1</td>
<td></td>
</tr>
<tr>
<td>Address 2</td>
<td></td>
</tr>
<tr>
<td>City, State, Zip, Country</td>
<td></td>
</tr>
<tr>
<td>Email</td>
<td></td>
</tr>
<tr>
<td>Phone 1</td>
<td></td>
</tr>
<tr>
<td>Phone 2</td>
<td></td>
</tr>
</tbody>
</table>
The Applicant identified above represents the following:

1. The Applicant is the designer and/or manufacturer of the SWT/MWT, or the authorized designee of the designer/manufacturer. **Please indicate the Applicant type below:**

   - [ ] Holder of all ownership rights in and to the SWT/MWT (SWT/MWT Manufacturer)
   - [ ] Authorized Designee of the SWT/MWT Manufacturer (include written proof of authorization with this application)

2. The Applicant has:

   **For SWT:**
   - Read and accepted the *ICC-SWCC Small Wind Turbine Certification Policy*;
   - Read and accepted the AWEA Small Wind Turbine Performance and Safety Standard;
   - Read and accepted the necessary IEC 61400 Standards referenced within the AWEA Standard, including IEC 61400-2
   - Included the ICC-SWCC **Preliminary Review Fee**.

   **For MWT:**
   - Read and accepted the ICC-SWCC Medium Wind Turbine Certification Policy;
   - Read and accepted IEC 61400-12-1 (Power Performance testing) and/or IEC 61400-11 (Acoustic testing) and/or IEC 61400-1 (Design Requirements)
   - Included the ICC-SWCC **Preliminary Review Fee**.

   **For MWT:** The Applicant chooses to pursue one or both of the following ICC-SWCC Medium Wind Turbine Certification elements. **Please indicate your intent to pursue one (1), two (2) or all:**

   - [ ] Certification of Power Performance testing according to IEC 61400-12-1
   - [ ] Certification of Acoustic testing according to IEC 61400-11
   - [ ] Certification of Design according to IEC 61400-1
Preliminary Review Fee

- Notice of Intent to Submit an Application for one (1) SWT/MWT
  US$ 2,500.00

- For each additional SWT/MWT submitted when multiple SWT/MWT configurations of the same turbine type are included in the Notice of Intent, provided that ICC-SWCC confirms that the SWT/MWTs are similar in design and other significant characteristics.
  US$ 1,250.00

For check remittance mail to:  
ICC-SWCC
Attn: Accounts Receivable
4051 W. Flossmoor Road
Country Club Hills, IL 60478

Wire funds to:
JP Morgan Chase Bank, N.A.
10 S. Dearborn
Chicago, IL 60603
Routing Transit Number (ABA): 0210-00021
Account Number (DDA): 672196073
SWIFT Code: CHASUS33
Beneficiary: Solar Rating & Certification Corporation
4051 W. Flossmoor Road
Country Club Hills, IL 60478

Turbine information. Please provide the following information for each turbine to be certified:

Model: ________________________________
Rotor: ________________________________
Rotor diameter (m): ________________________________
Swept area (m²): ________________________________
Power form ________________________________ (e.g. 240VAC, 60Hz, 1-phase)
(Please complete the ICC-SWCC Configuration Description Form for each turbine)

Testing and evaluation plans. Please describe the Qualified Testing Organization that will be testing the turbine(s) to be certified:

Testing organization: ________________________________
Address: ________________________________
Contact: ________________________________
Phone: ________________________________
Website: ________________________________
Email: ________________________________
Publication of Application Status.

The Applicant may request that ICC-SWCC publicly list the status of the ICC-SWCC Certification Application on the ICC-SWCC website (www.smallwindcertification.org), pursuant to the ICC-SWCC Certification Policy. Please check one box below:

☐ Yes, I authorize ICC-SWCC to publicly list the Applicant’s name, SWT/MWT model and Application Status on the ICC-SWCC website.

☐ No, I would like the Applicant’s name, SWT/MWT model and Application Status to remain confidential as we pursue certification.

While ICC-SWCC makes a significant effort to maintain current and accurate applicant directory information on the ICC-SWCC website, ICC-SWCC does not warrant or guarantee the accuracy, timeliness, or fitness of the information contained therein for any purpose.

By signing below, the authorized representative of the applicant confirms and agrees that all of the information contained in this Notice of Intent is true and accurate.

Applicant Signature - Applicant agrees to the above representations

Date