

ACCEPTANCE OF FUNDING FOR SWCC DISTRIBUTED WIND TURBINE CERTIFICATION

The National Renewable Energy Laboratory (NREL), with funding from the U.S. Department of Energy (DOE), has entered into a contract with the Solar Rating & Certification Corporation (ICC-SRCC) to provide support for manufacturers of distributed wind turbines seeking certification under the ICC-SWCC Wind Turbine certification program. Entitled “Removing Barriers to Certification of Distributed Wind Turbines Through Preliminary Review of Applications,” (SUB-2023-10114), the project (the “NREL project”) provides DOE funding to offset the costs associated with ICC-SWCC Preliminary Reviews for prospective distributed wind turbine certification applications.

ICC-SWCC Preliminary Reviews are the first step in the certification process designed to:

- Confirm that a distributed wind turbine submitted is eligible for certification under the current ICC-SWCC Distributed Wind Turbine Certification Program.
- Establish the submittals that will be required to certify the specific turbine for certification under the SWCC program. These include test reports, engineering analyses, specifications, and other information.
- Validate the testing organization, site, installation, and instrumentation layout to be used for any new testing planned. If existing test reports are submitted, the utility of the report for an application for certification will be evaluated.

The evaluations conducted during the Preliminary Review are documented using the *ICC-SWCC Preliminary Review Checklist Form*. The end result is either approval, which would result in an invitation to the applicant to formally apply for certification of its submitted turbine, or disapproval, which would preclude the applicant’s eligibility to apply for such certification.

The NREL project covers the costs associated with the ICC-SWCC Preliminary Review, which are normally the direct responsibility of the applicant. To participate in the NREL project, applicants must acknowledge the following conditions and accept them by signing below:

- All finalized ICC-SWCC Preliminary Review Checklist Forms completed by ICC-SRCC under the NREL project will be submitted to NREL in accordance with the requirements of the NREL project contract. Otherwise, all applicant data submitted or developed in connection with the ICC-SWCC Preliminary Review will be kept confidential by ICC-SRCC.
- Project funding will be sent directly to ICC-SRCC in accordance with the project contract. No funding will be provided directly to an applicant.
- Preliminary Reviews will be conducted in accordance with the procedures established in the *ICC-SRCC Rules for Wind Turbine Listing Reports* and referenced policies and procedures.
- NREL staff will have no role in the conduct of the Preliminary Review and/or the final decision on it.
- Participation in the NREL project is not a condition of certification of a qualified wind turbine under the ICC-SWCC Wind Turbine Certification Program. Applicants may choose not to participate in the NREL project and independently submit an application

for ICC-SWCC Preliminary Review, which would require payment by the applicant of all applicable ICC-SWCC fees.

- Funding provided under the NREL project is only applicable to the Preliminary Review portion of the ICC-SWCC certification program. It is not applicable to certification or testing fees incurred following the successful completion of the Preliminary Review.

On behalf of the applicant listed below, by signing below, I agree to participate in the NREL Project, and acknowledge the terms and conditions listed herein.

Signature:

Print Name:

Date:

Organization Name:

Turbine Model:
